

**Basic Concepts for  
Convection Parameterization in  
Weather Forecast and Climate  
Models:**

**COST Action ES0905**

**Jun-Ichi Yano  
Meteo France**

# **COST Action**

**(European Cooperation in Science and Technology):**

**European Network for Scientific Collaborations**

**(an Action is a Unit of Network)**

**Action ES0905: Feb 2010- Mar 2014**

**Purpose of the Talk: Scientific Report of the Major Achievements (personalized)**

**Background (MoU):**

**Convection Parameterization:**

**A Major Weakness of NWP Models**

**Objective (MoU):**

**To Provide **Clear Theoretical Guidance**  
on Convection Parameterization**

**Immediate Context(MoU):**

**Increasing Resolution with Half-  
Resolved Convection:**

**High-Resolution Limit?**

**Grey Zone Problem**

## Structure:

**chair : co-chairs (2) :**

**MC (from each country: 20 ) :**

**WGs (4):**

- **Mass-Flux**
- **Non Mass-Flux**
- **High-Resolution Limit**
- **Physics and Observations**

## Activity Overview (Milestones):

- **5 Workshops : Report to Eos, BAMS(3+1?)**
- **21WG Meetings**
- **1 Summer (Training) School : Report Accepted to Eos**
- **18+1 STSMs (Short-Term Scientific Missions)**

### •5 Reviews:

**Quasi-Equilibrium (Yano and Plant 2012, Rev Geophys)**

**Entrainment-Detrainment (de Rooy et al., 2013, QJ)**

**Closure (Yano et al., 2013, ACP)**

**Bin and Bulk Microphysics (Khain et al., 2014, to be submitted to Rev. Geophys)**

**Precipitation Verification (Rezacova et al., 2014, a book chapter)**

### •Monograph:

**“Parameterization of Atmospheric Convection”, Vol I and II (Plant and Yano, Eds., Imperial College Press)**

**Final Scientific Report: to be submitted to *Atmosphere***

**What is a Real Immediate Issue? :**

**Traditional Convective**

**Quasi-Equilibrium Parameterization:**

**Not Running as Designed/Intended**

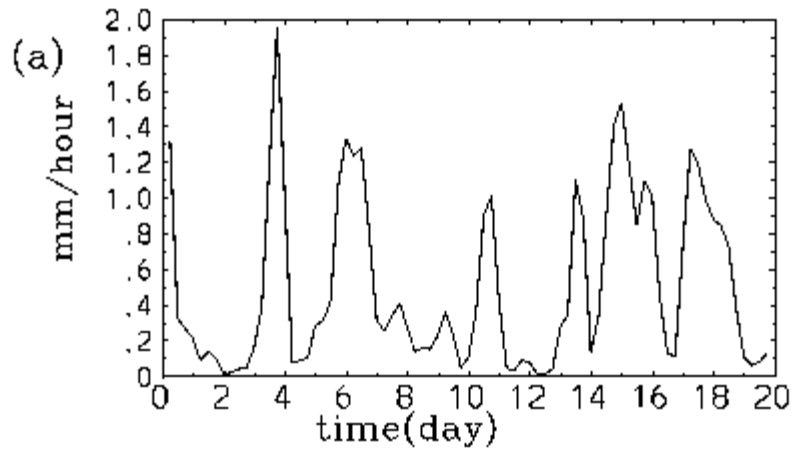
# Performance of Quasi-Equilibrium based

## Parameterizations:

### Observed Convective

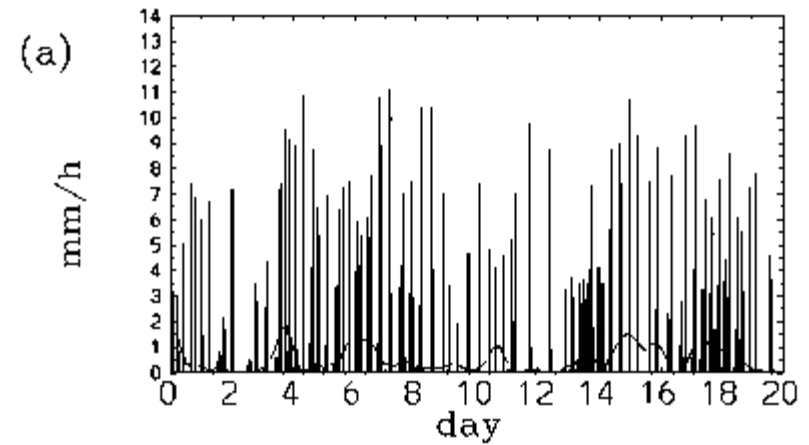
### Precipitation:

### GATE:

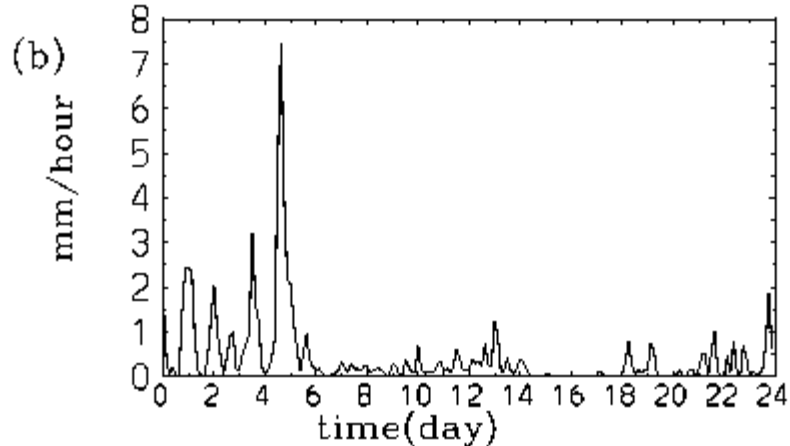


### ACCESS (Australian UM):

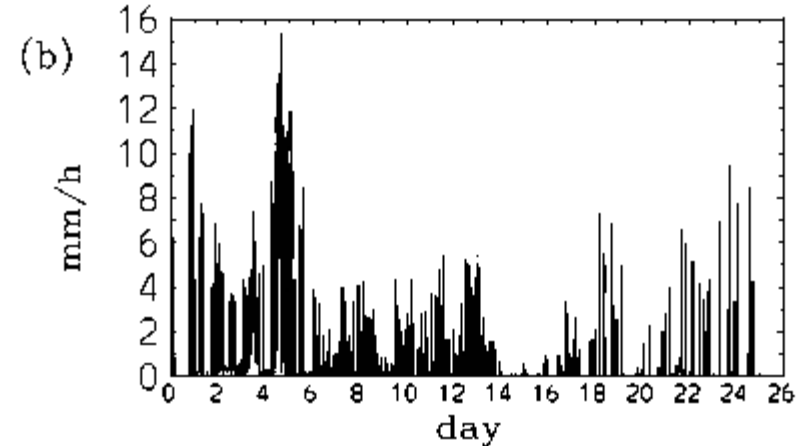
### GATE:



### TWP-ICE:



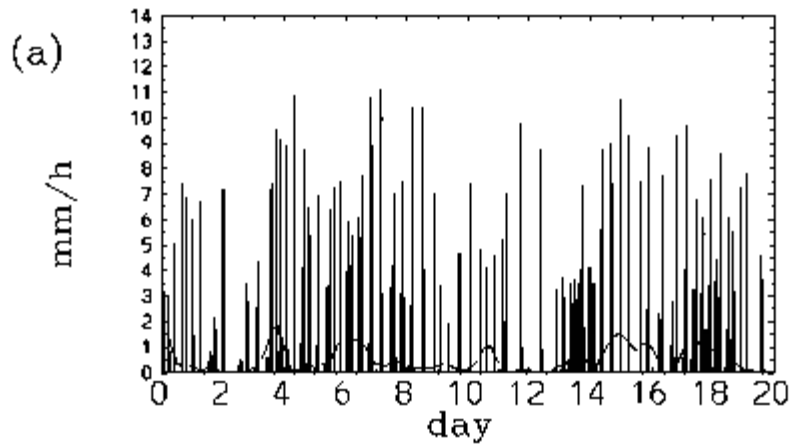
### TWP-ICE:



# Performance of Quasi-Equilibrium based Parameterizations:

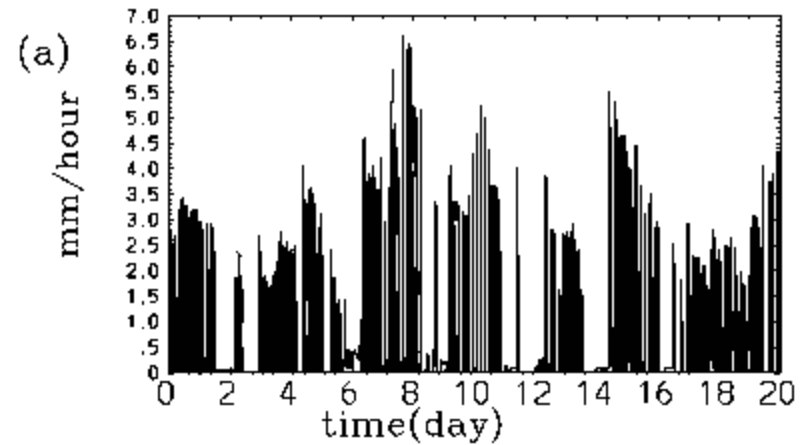
## ACCESS (Australian UM):

### GATE:

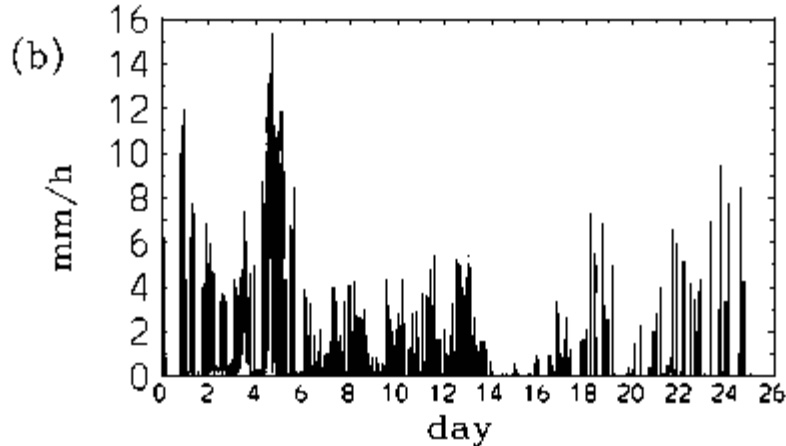


## ECHAM:

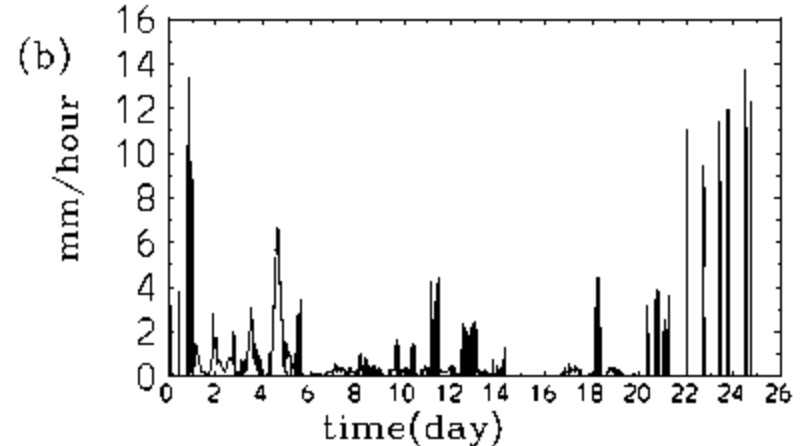
### GATE:



### TWP-ICE:



### TWP-ICE:





**Traditional Convective  
Quasi-Equilibrium Parameterization:  
Not Running as Designed/Intended:**

**Basic Formulation for the Mass-Flux  
Parameterization?:**

- **Entrainment-Detrainment**
- **Closure**

# Basic Mass-Flux Formulation:

$$M = \eta(z)M_B(t)$$

$\eta(z)$  : **Entrainment-Detrainment**

$M_B(t)$  : **Closure**

(we may set:  $M_B=0$ )

**NB: Trigger :**

**NOT** A Part of the Problem

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**NOT A Part of the Problem:**

**Phenomenological Process  
Studies (LES/CRM/Satellite)**

**DO NOT directly**

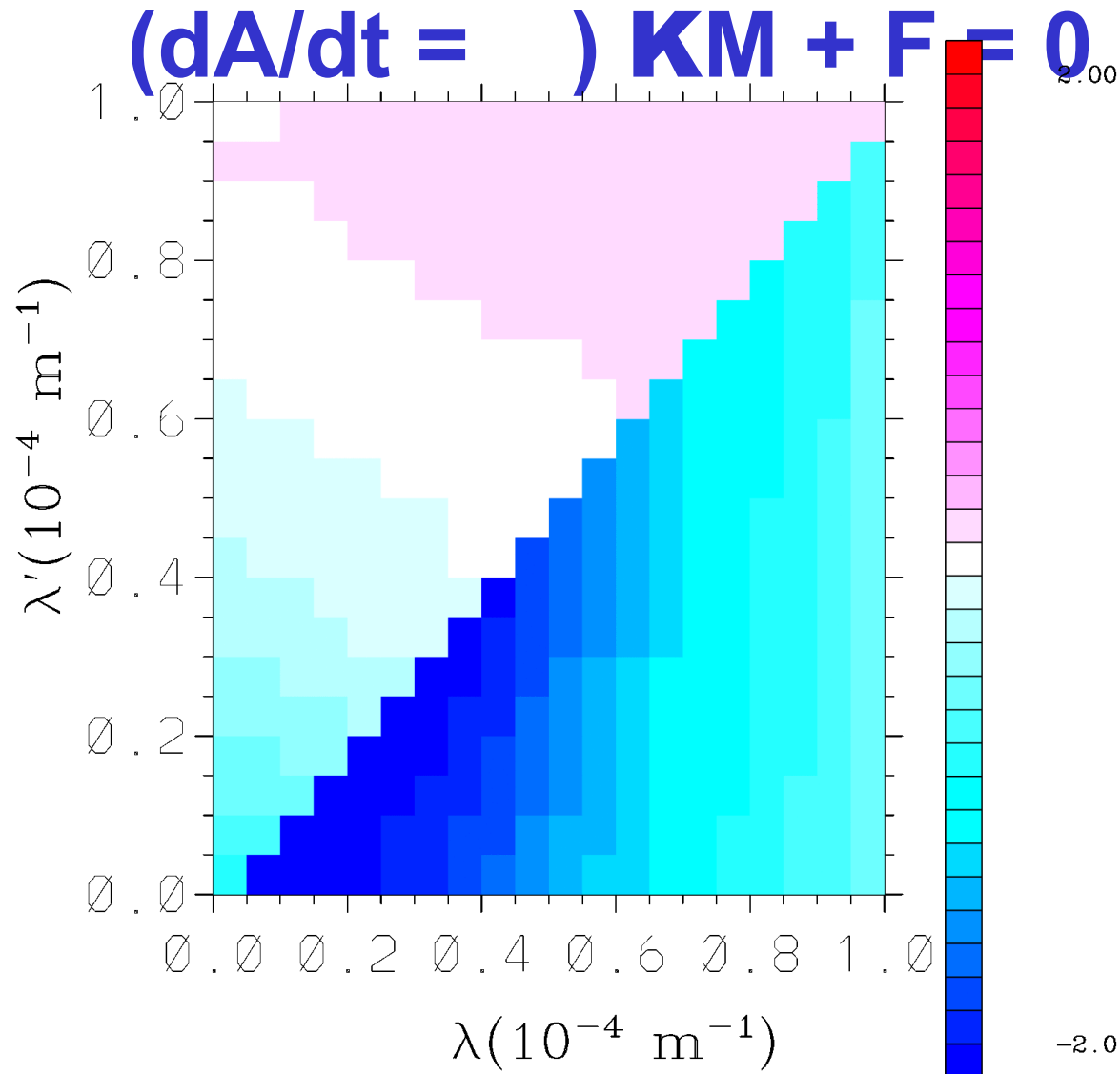
**Improve Parameterizations:**

**KNOW the Parameterization!:**

# Convective Quasi-Equilibrium:

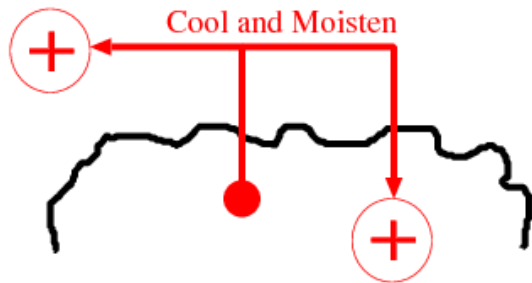
## Review (Yano and Plant 2012):

**K = ?:**

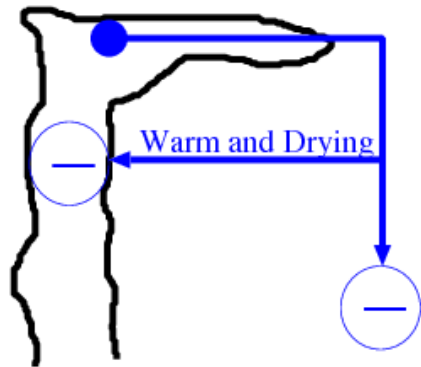


# Allow-to-Deep Transformation:

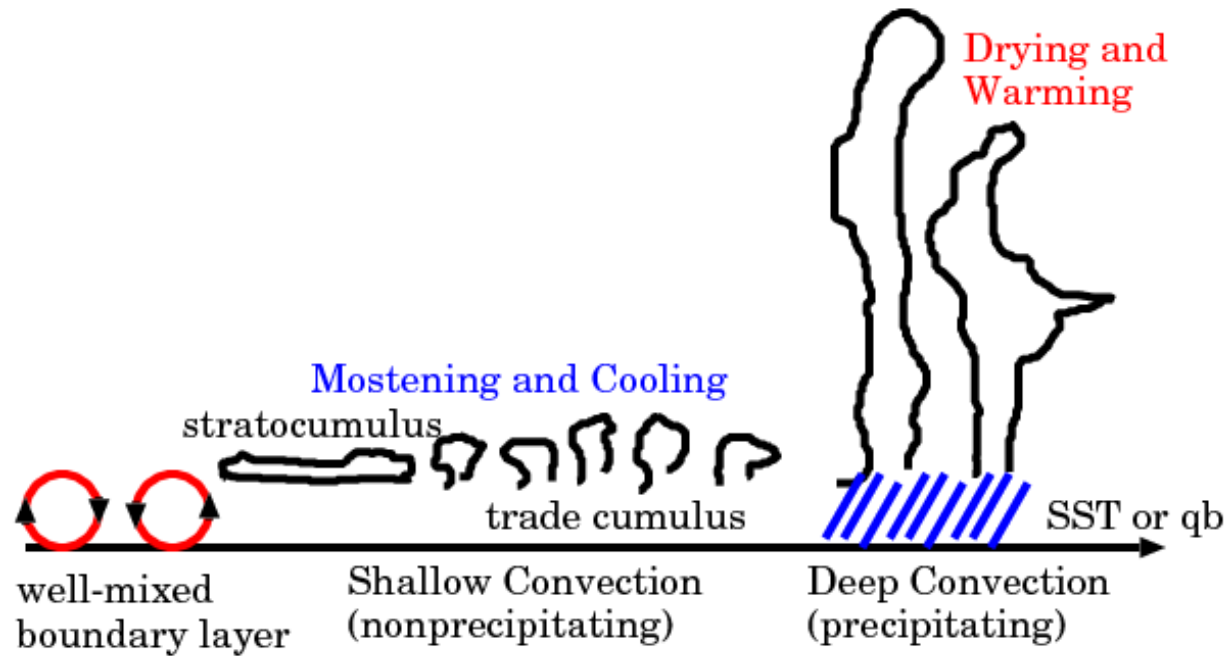
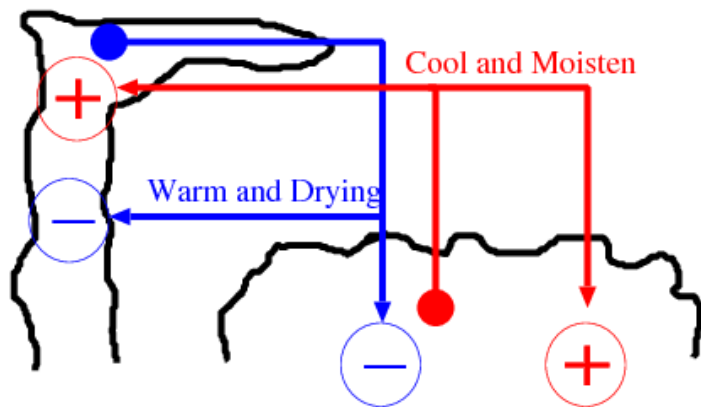
(a)



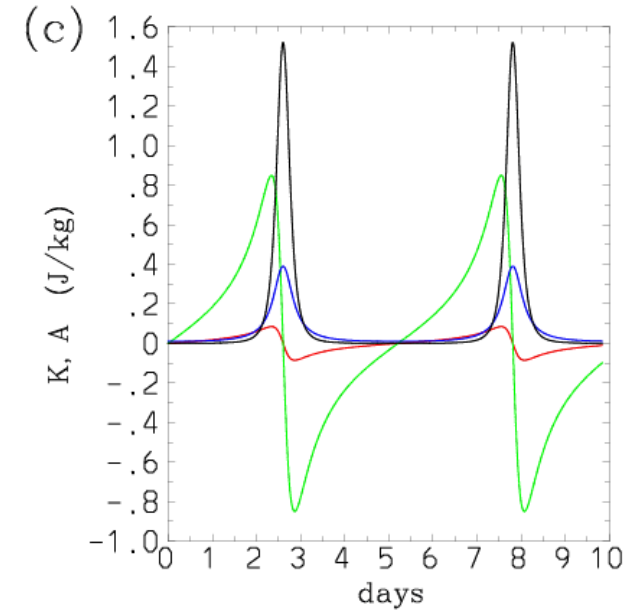
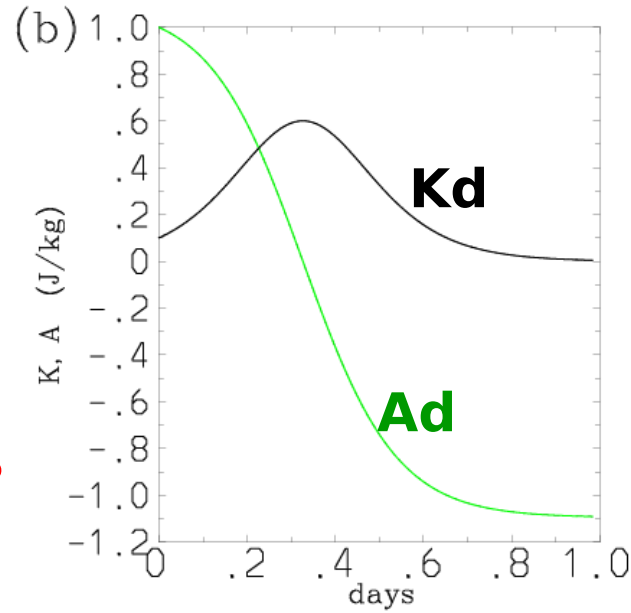
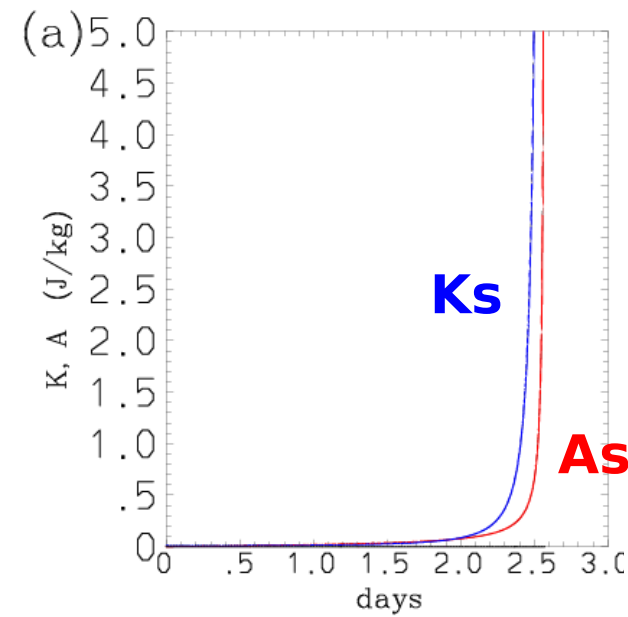
(b)



(c)



# -Mode Interactions: Shallow and Deep



**Shallow-convection only**

**Deep-convection only**

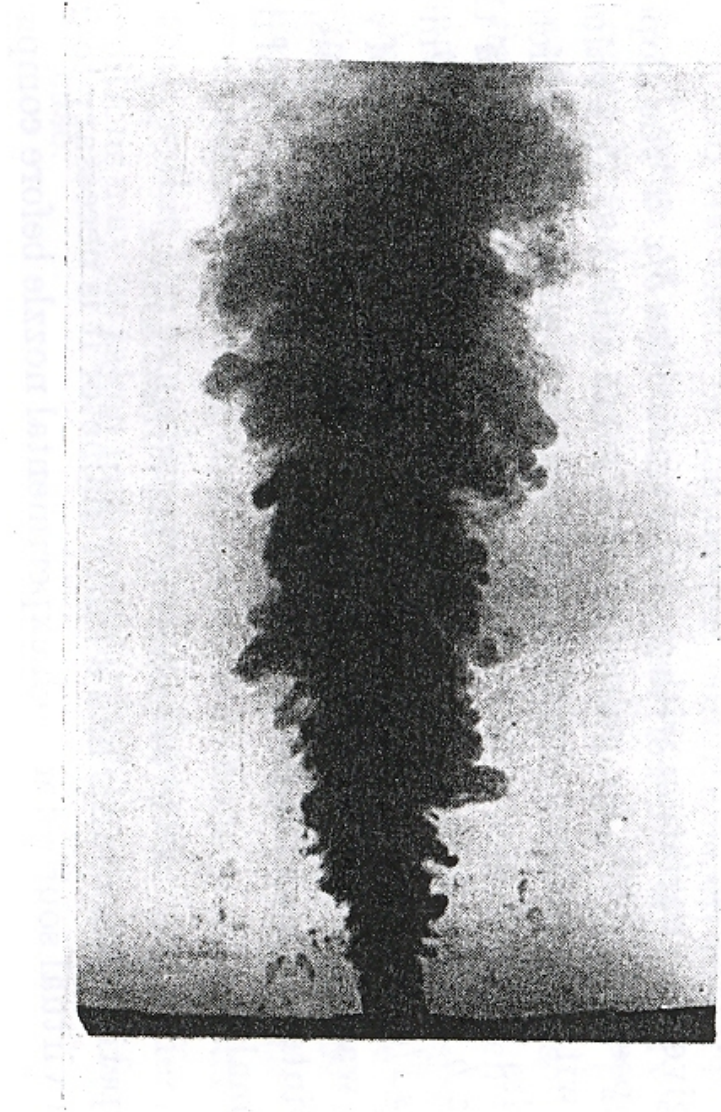
**Coupling of Shallow and Deep convection**

**(Moist and Cool)**

**(Dry and Warm)**

**Deep convection**

# •Entrainment-Detrainment



3  
2  
 $x_1$   
1  
0



Morton et al., 1956

Anna Gorska, S. Malinovski, 2013

# Alternative Possibilities:

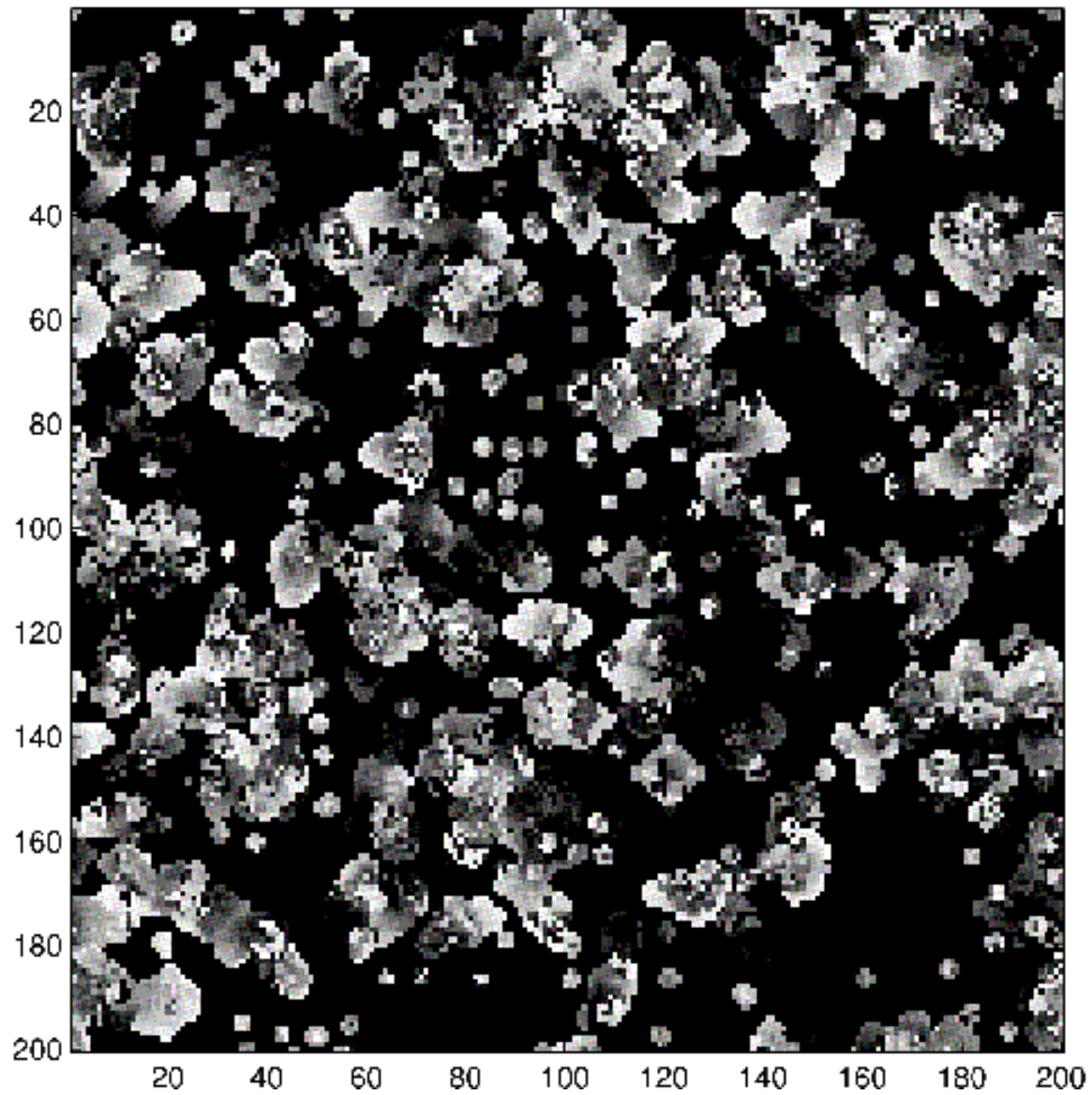
- PDF
- Similarity Theory
- Cellular Automaton
- Statistical Mechanics
- Renormalization Group
- Lie Algebra

# Observations and Verifications:

- Polarimetric Radar
- Lightning Data (EUCLID)
- Quantitative Precipitation Verification



# Cellar Automaton (L. Bengtsson et al):



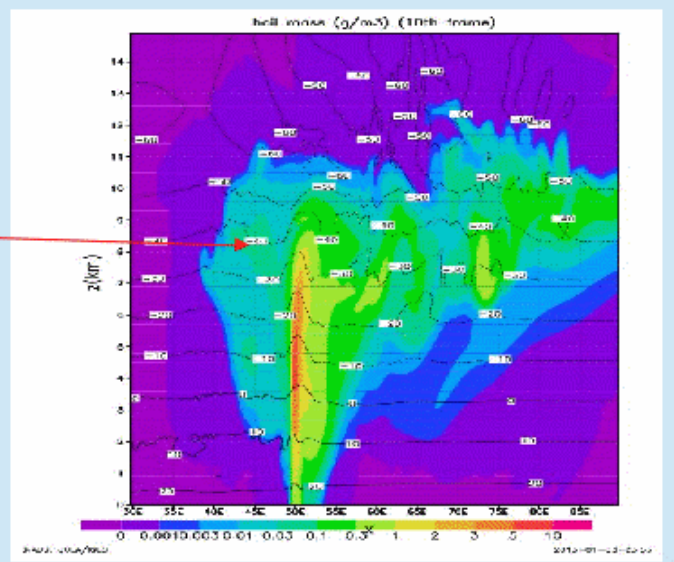
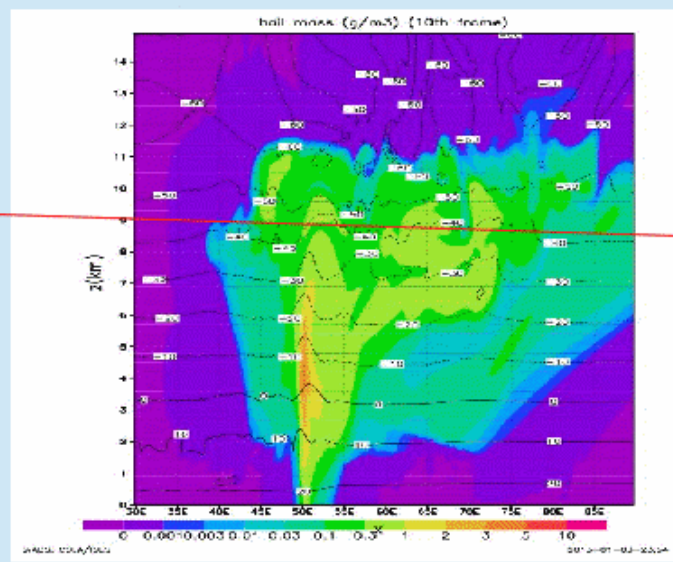
# Hail Dynamics (V. Phillips et al):

MARITIME AEROSOL

CONTINENTAL AEROSOL

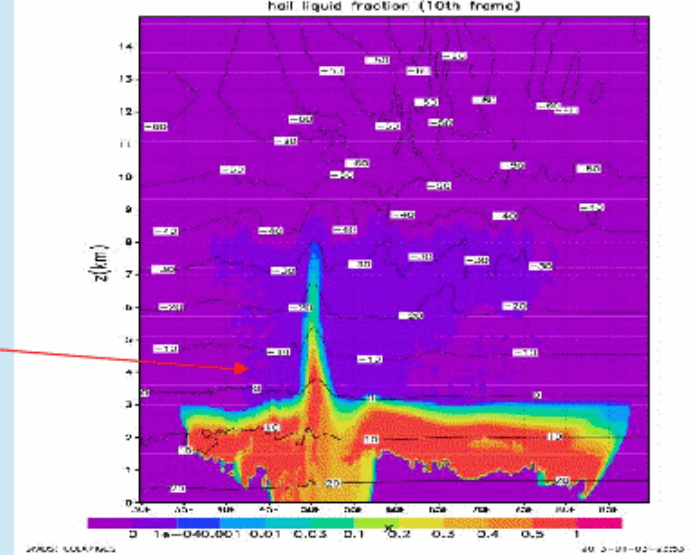
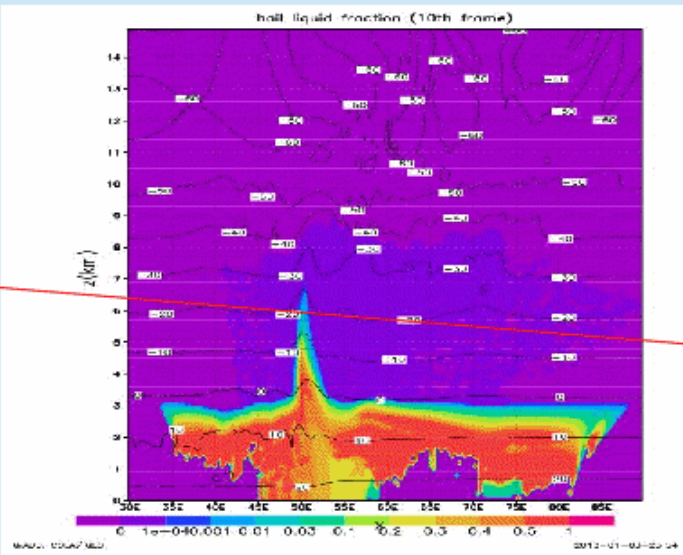
Hail Mass

*More hail from riming*



Liquid Fraction of Hail

*More cloud-liquid boosts wet growth (and graupel)*



# 3MT: High Resolution Limit (Geleyn et al)

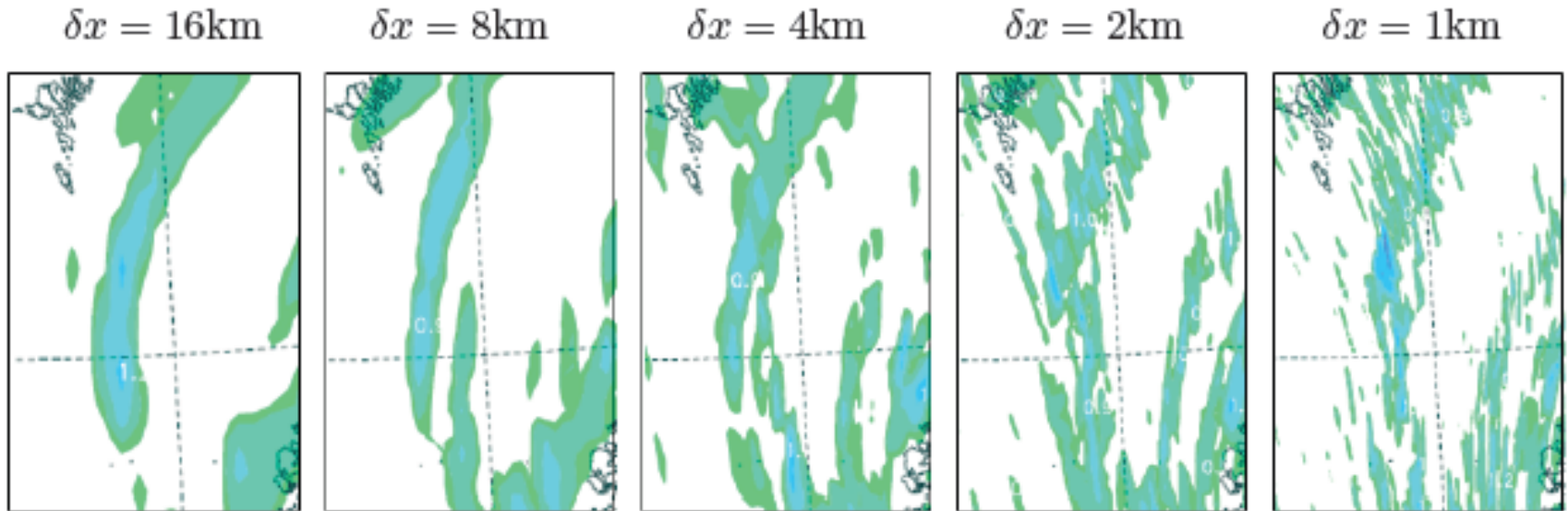


Figure C2: 1h precipitation amount forecast by the ALARO-0 baseline configuration including the 3MT scheme, for horizontal mesh-size 16km, 8km, 4km, 2km and 1km (from left to right). North Sea cold air outbreak case of the WGNE grey-zone intercomparison experiment, designed to explore the models' capacity to cope with partly resolved precipitating convection. This is a situation for which the 3MT scheme is targetted and it indeed delivers an unchanged basic solution with more and more details as resolution increases across the grey-zone. Forecast base 30 January 2010 at 12 UTC, forecast range +31h, sub-area results shown between the Feroes and Orkneys.

**Concluding Perspective: **Ontology****

**Needs for a Stronger Link between the Operations and Theoretical Research (Physics and Mathematics):**

**New Action Proposal:**

**NWP-Uncertainties:**

**Facing Uncertainties in convection-permitting Numerical Weather Prediction**



Establishing generality, consistency, and unification of physical parameterizations in operational forecast models is becoming increasingly *urgent* with an accelerated increase in model resolutions. **A solid commitment of the operational research centres** is definitely required, but that is not enough. The pathway is *not unique*, and a choice is even not obvious for the very ontological reason. The problem must be seen *as a whole* before a right choice can be made. All the facets of the problem is put together into a single whole only under a *true interdisciplinarity* (Report, Workshop, Palma, March 2013, BAMS)

**Web Page: [convection.zmaw.de](http://convection.zmaw.de)**

## Scientific Outcomes (cf., report to *Atmosphere*):

### • Identified Priorities (Mass Flux):

**Closure**

**Entrainment-Detrainment**

### • Pathways for Investigations

(Palma Workshop Report, 2014, BAMS):

**1) Turbulence Studies**

**2) Parameterization Formulation**

**3) Process Studies (?)**

**• Major Achievement: Diurnal Convective Cycle by Closure  
(Yano et al., 2013, Bechtold et al., 2014)**

**• How to Maintain Fundamental Research Under the Current  
Funding Environment?**

## • Closure:

### • Review (Yano et al., 2013):

### • Two Decotopics Choices:

### • **Boundary-Layer Controlled Closure:**

**More Popular, however less reliable due to too noisy  
Boundary-laye variability (Donner and Phillips 2003)**

### • **Parcel-Environment based Closure (Zhang 2002):**

**Successful Simulation Diurnal Convective Cycle  
(Bechtold et al., 2014)**

# Diurnal Cycle of Convection: Closure Modification

Bechtold, P., N. Semane, P. Lopez, J.-P. Chaboureau, A. Beljaars, and N. Bormann, 2014: Representing equilibrium and nonequilibrium convection in large-scale models. *J. Atmos. Sci.*, **71**, 734–753. doi: <http://dx.doi.org/10.1175/JAS-D-13-0163.1>

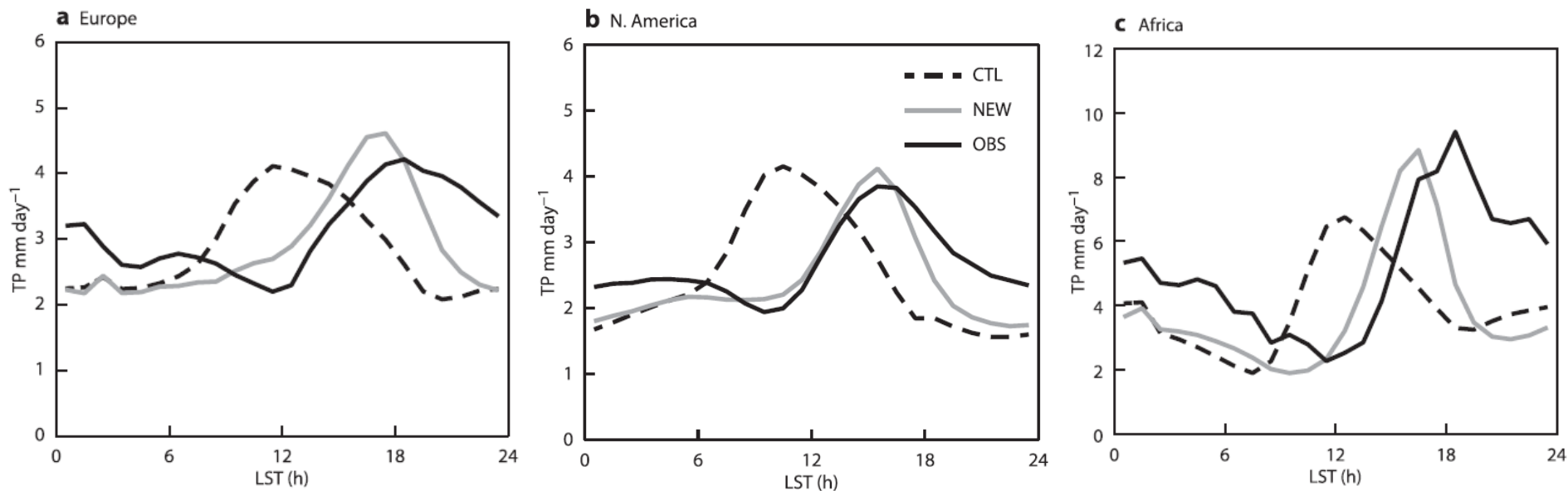


FIG. 5. Diurnal composites of area-averaged total precipitation ( $\text{mm day}^{-1}$ ) from CTL (black solid lines) and NEW (dashed lines) against observations for JJA 2011 (Europe) and JJA 2011 and 2012 for the other areas: (a) Germany ( $48^{\circ}$ – $52^{\circ}$ N,  $7^{\circ}$ – $14^{\circ}$ E) using DWD radar, (b) the eastern United States ( $30^{\circ}$ – $45^{\circ}$ N,  $100^{\circ}$ – $80^{\circ}$ W) using NEXRAD, and (c) the central Sahel region ( $5^{\circ}$ – $20^{\circ}$ N,  $10^{\circ}$ – $30^{\circ}$ E) using TRMM climatological radiometer data.



- **Entrainment-Detrainment:**

- **Review (de Rooy et al., 2013):**

- **Robust Methodologies for Estimation from CRM and LES**

- **Identified Key Elements:**

  - Critical Fractional Mixing Ratio**

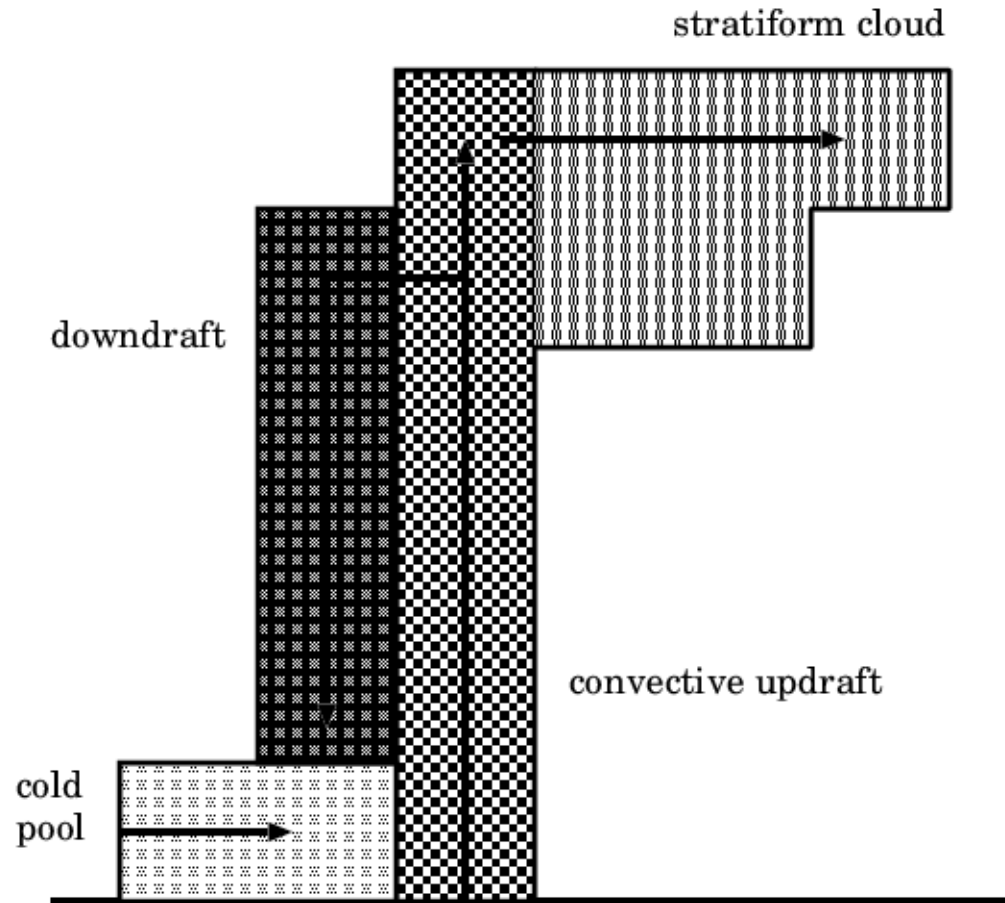
  - Relative Humidity Dependence**

  - Importance of Detrainment**

- **Lack of Physical Principle**

# General Unified Formulation (under Mass Flux):

**SCA:**



# General Unified Formulation

(under Mass Flux):

**SCA:**

Time: 1 days 0 hours 0 min

